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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5611.00007

First Inventor Scott D'Avanzo

Title Electronic Gaming Machine

Express Mail Label No. EV343626206US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check \$525

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

29747

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Rob L. Phillips

Registration No. (Attorney/Agent)

40,305

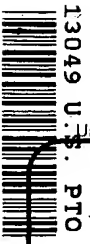
Signature

Date 06/24/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

21905 U.S. PTO
10/603499
06/24/03

06/24/03



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 525.00

Complete if Known

Application Number	
Filing Date	06/23/2003
First Named Inventor	Scott D'Avanzo
Examiner Name	
Group Art Unit	
Attorney Docket No.	5611.00007

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 502466
 Deposit Account Name: Quirk & Tratos Chtd

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201-370	Utility filing fee	375.00
106	330	206-165	Design filing fee	
107	510	207-255	Plant filing fee	
108	740	208-370	Reissue filing fee	
114	160	214-80	Provisional filing fee	

SUBTOTAL (1) (\$) 375.00

2. EXTRA CLAIM FEES

Total Claims: 32 -20** = 12 x 9.00 = 108.00
 Independent Claims: 4 -3** = 1 x 42.00 = 42.00
 Multiple Dependent: ☐ = ☐

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203-9	Claims in excess of 20
102	84	202-42	Independent claims in excess of 3
104	280	204-140	Multiple dependent claim, if not paid
109	84	209-42	** Reissue independent claims over original patent
110	18	210-9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 150.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205-65	Surcharge - late filing fee or oath	
127	50	227-25	Surcharge - late provisional filing fee or cover sheet	
139	130	139-130	Non-English specification	
147	2,520	147-2,520	For filing a request for ex parte reexamination	
112	920*	112-920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113-1,840*	Requesting publication of SIR after Examiner action	
115	110	215-55	Extension for reply within first month	
116	400	216-200	Extension for reply within second month	
117	920	217-460	Extension for reply within third month	
118	1,440	218-720	Extension for reply within fourth month	
128	1,960	228-980	Extension for reply within fifth month	
119	320	219-160	Notice of Appeal	
120	320	220-160	Filing a brief in support of an appeal	
121	280	221-140	Request for oral hearing	
138	1,510	138-1,510	Petition to institute a public use proceeding	
140	110	240-55	Petition to revive - unavoidable	
141	1,280	241-640	Petition to revive - unintentional	
142	1,280	242-640	Utility issue fee (or reissue)	
143	460	243-230	Design issue fee	
144	620	244-310	Plant issue fee	
122	130	122-130	Petitions to the Commissioner	
123	50	123-50	Processing fee under 37 CFR 1.17(q)	
126	180	126-180	Submission of Information Disclosure Stmt	
581	40	581-40	Recording each patent assignment per property (times number of properties)	
146	740	246-370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249-370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279-370	Request for Continued Examination (RCE)	
169	900	169-900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Rob L. Phillips	Registration No. (Attorney/Agent)	40,305	Telephone	702-792-3773
Signature		Date	06/24/2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT

Expr ss Mail No. EV343626206US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Scott D'Avanzo
Application No. : to be assigned
Filed : Herewith
Title : ELECTRONIC GAMING MACHINE
Grp./Div. : not known
Examiner : not known
Docket No. : 5611.00007

**NON-PUBLICATION REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)**

June 24, 2003
MAIL STOP PATENT APPLICATIONS
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Quirk & Tratos (**Customer No. 29747**)
3773 Howard Hughes Pkwy
Suite 500 North
Las Vegas, Nevada 89109

Commissioner:

I hereby certify that the invention disclosed in the application submitted herewith has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the application submitted herewith not be published under 35 U.S.C. 122(b).

Respectfully submitted,

QUIRK & TRATOS

By: 

Rob L. Phillips
Registration No. 40,305
(702) 792-3773

RLP/bje

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